





Data Management in Registries



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Defining Patient Registries

Patient registry is an organized system that uses **observational study methods** to

collect uniform data (clinical and other) to evaluate specified outcomes for a

population defined by a particular disease, condition, or exposure, and that serves

one or more stated scientific, clinical, or policy purposes.



Search

Q

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Clinical Practice Research Datalink

Clinical Practice Research Datalink (CPRD) is a real-world research service supporting retrospective and prospective public health and clinical studies. CPRD is jointly sponsored by the Medicines and Healthcare products Regulatory Agency and the National Institute for Health Research (NIHR), as part of the Department of Health and Social Care.

CPRD collects anonymised patient data from a network of GP practices across the UK. Primary care data are linked to a range of other health related data to provide a longitudinal, representative UK population health dataset. The data encompass 60 million patiently including 16 million currently registered patients.

For more than 30 years, research using CPRD data and services has informed clinical guidance and best practice, resulting in over 2,900 peer-reviewed sublications investigating drug safety, use of medicines, effectiveness of health policy, health care delivery and disease risk factors.

In response to the coronavirus outbreak, CPRD is expediting processing of protocols relating to COVID-19 research. To ascertain whether your protocol is eligible for rapid review please email rdg@cprd.com before you submit your application and include a clear impact statement in terms of public health, safety or policy. To understand how the current situation may impact on data processing and access requests see



GP practices - Join today



Today's Data, Tomorrow's Discoveries



Increasing Access to the Results of Research Funded by the National Science Foundation

National Science Foundation

March 18, 2015



This presentation

is about:

Introduction to data management & sharing

- Why Manage Your Data?
- Formatting and organizing the data
- Storage and Security of Data
- Data documentation and meta data
- Quality control & Version controlling
- Controlled Vocabulary
- Common Data Elements
- Data Sharing
- Data management plans
- Examples for routine practices
- > Examples for modern practices

Background

- 1. Research funders are increasingly mandating open access to research data
- 2. Governments internationally are demanding transparency in research
- 3. The economic climate is requiring much greater reuse of data
- 4. Fear of data loss calls for more robust information security practices.
- 5. Journal publishers increasingly require submission of the data up on which publications are based for peer review.
- 6. Researchers and data users recognize the long-term value of well-prepared data.



Types of Research Data

Research data can be many different things - it's not just spreadsheets and it's not just code!

It's a whole myriad of files that can be created through research...essentially all outputs that are not a research publication!

https://researchdata.springernature.c om/posts/30232-infographic-types-ofresearch-data



Data Lifecycle



By Data Management we mean all data practices,

manipulations, enhancements and processes that

ensure that research data are of a high quality, are

well organized, documented, preserved,

sustainable, accessible and reusable.

Management of medical, health and clinical data is a critical part of the research process. It enables:

✓ Increased quality of your research

✓ Increased transparency and trust in data you obtain

✓ Reproducibility of research through increasing veracity of data

✓ Strengthening of researchers' reputation through increased citations and reach of all research outputs.

Different

Processes in

Research Data

Management



Formatting and organizing the data

➢Choosing File Formats

- Format best suited for data creation
- Format best suited for data analyses and other planned uses;
- Format best suited for long-term sustainability and sharing of data

➤Data conversion

≻File Names

➢ Best Practice for File/folder Structure

Storage and Security of Data

➢ Backup Your Data

➢Physical data security

► Network Security

Security of computer systems

➢ Data Encryption

➢Access controlling and security

Data documentation and Meta Data

Metadata = Data about data



Metadata vs. Data





Metadata are a specific subset of data documentation that provides

organized searchable information

Data documentation and Meta Data

- Study-level data documentation
- Data level data documentation





Good study-level data documentation includes:

Research design and context of data collection

Data collection methods

Structure of data files, with number of cases, records, files and variables, as well as any relationships among such items;

Secondary data sources used and provenance

Data validation, checking, proofing, cleaning and other quality assurance procedures

Modifications made to data over time since their original creation and identification different versions of datasets;

Information on data confidentiality, access and any applicable conditions of use;

Publications, presentations and other research outputs that explain or draw on the data.

Good data-level data documentation includes:

- •Names, labels and descriptions
- Value code labels
- •Coding and classification schemes
- •Codes for missing values
- Derived new concepts from other variables
- •Weighting variables

فرا داده و فرهنگ لغت مطالعه قند و لیپید تهران



پژوهشکده علوم غدد درون ریز و متابولیسم دانشگاه علوم پزشکی شهید بهشتی

> گروه آمار و اپيدميولوژى ١٣٩٩ ويرايش دوم

فهرست مطالب

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فصل دوم جدول های فر هنگ لغت داده ها

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ان شامل موارد زیر است:	پرسشنامه قند و ليپيد تهر	حیطه های موجود در
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مخفف روش جمع آوری داده ها	مخفف حيطه	اصطلاح انگلیسی	اصطلاح فارسى	رديف
Q	DEM	Demographic information	اطلاعات دموكر افيك	١
Q	PMH	Past medical history	سىوابق پزشىكى	۲
Q	ASS	Adolescent smoking	عادات مصرف دخانيات نوجو انان	٣
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Q	ROS	Chest pain & claudication	درد سينه و لنگش متناوب	۷
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Q	NUT	Nutrition	تغذيه	٩
E	MED	Medical examinations	معاينات پزشكى	1.
E	ANT	Anthropometric measurement	تن سنجى	11
E	ECG	ECG	نوار قلب	17
Р	LAB	Lab	آزمایشگاه	۱۳
F	OUT	Outcome	پيامد	14
F	FUD	Followup date	تاريخ پيگيرى	10

File Name: admit1_v2_20Jun2020				
Name	Label	Description in Persian		
day_1	p1qdem2a: day of interview	تاريخ مصاحبه به روز		
month_1	p1qdem2b: month of interview	تاریخ مصاحبه به ماه		
year_1	p1qdem2c: year of interview	تاريخ مصاحبه به سال		
enterdate_1	p1qdem2d: date of interview	تاريخ ميلادي مصاحبهر		
center_1	p1qdem3: center	نام مرکز بهداشتی		
family_1	p1qdem4: household no	شماره خانوار		
cluster_1	p1qdem5: cluster no	شماره خوشه		
birth_date	p1qdem10: documented birth date	تاريخ تولد شناسنامه اي		
ageyr_1	p1qdem12a: self-reported age	سن براساس اظهارات فرد		
agemon_1	p1qdem12b: age in month	سن برحسب تعداد ماه		
sex_1	p1qdem13: sex	جنس		
spouse_1	p1qdem14: marital status	وضعيت ناهل		
relative_1	p1qdem15: situation in the family	وضعيت فرد در خانوار		
literacy_1	p1qdem18: literacy	وضعيت سواد		
ifstud_1	p1qdem19: studying now	در حال حاضر تحصيل مي كنيد؟		

Quality Control & Data Checking

- •They are fit for their intended uses in operations, decision making and planning.
- •Completeness
- Validity
- Reliability
- Consistency
- •Timeliness

Version controlling and tracking

 In the case of research data, a new version of a dataset may be created when an existing dataset is <u>reprocessed</u>, <u>corrected</u> or appended with <u>additional data</u>.

 Versioning is one means by which to track changes associated with <u>'dynamic'</u> data that is not static over time.

- Decide how many versions of a file to keep
- Identity milestone versions to keep
- •Uniquely identity different versions of files using a systematic naming convention, such as using version numbers or dates
- •Record changes made to a tile when a new version is created

Working with sensitive data

Sensitive data are data that can be used to identify an individual, species, object, or location that introduces a risk of discrimination, harm, or unwanted attention.

A person's identity can be disclosed from:

•Direct identifiers such as names, addresses, postcode information, telephone numbers or pictures

•Indirect identifiers which, when linked with other publicly available information sources, could identify someone, for example information on workplace, occupation or exceptional values of characteristics like salary or age.



Total Data Management

Selecting and Defining Exposure & Outcome Measures for Registries

The selection and definition of patient outcomes of interest together with the exposures(s) of interest, is a critical step in designing a patient registry.

Standard terminologies not only improve efficiency in establishing registries but also promote more effective sharing, combining, or linking of datasets from different sources.



•Controlled vocabularies ensure shared understanding of the terminologies used in taxonomies and classifications.

•Using established vocabularies promotes interoperability, discovery and re-use of data.

Health Vocabulary examples





An easily accessible portal to controlled vocabularies used in research

A controlled vocabulary reflects agreement on terminology used to label concepts. When research communities agree to use common language for the concepts in datasets, then the discovery, linking, understanding and reuse of research data are

improved.



لیست مربوط به ترمینولوژی Country



	توضيحات	Value	Code	TerminologyId
		آرژانتین	AR	Country
		آروبا	AW	Country
		آفریقای جنوبی	ZA	Country
		آلبانی	AL	Country
		آلمان	DE	Country
		آنتیگوا و باربودا	AG	Country
		آندورا	AD	Country
		آنگولا	AO	Country
		آنگویلا	AI	Country

بازگشت
یست مربوط به ترمینولوژی CPT	CPT	زی ۲۱ ز	ترمينولو	به	مربوط	يست
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بازگشت

نمایش تمامی موارد	جستجو در CPT	ایران ، بیمه ، جراحی ،

توضيحات	Value	Code	TerminologyId
	GRADE-AID" (DEVICE TO PREVENT ROLLING BACK ON AN INCLINE) FOR WHEELCHAIR"	E0974	СРТ
	HYDROXYPREGNENOLONE-17	84143	CPT
	MUTATION ANAL (IN INDIV W KNOWN MLH1&MLH2 MUTATION THE FAMILY), HEREDITARY NONPOLYPOSIS-1 COLOREC CA (HNPCC) GENETIC TSTING	S3831	CPT
	NF NON-SEALED LEAD ACID BATTERY, EACH 22	K0082	CPT
	NF SEALED LEAD ACID BATTERY, EACH (E.G., GEL CELL, ABSORBED GLASS MAT) 22	K0083	CPT
	DEXTROSE AND 45% NORMAL SALINE, 1000 ML 5%	S5010	CPT
	DEXTROSE IN LACTATED RINGER'S, 1000 ML 5%	S5011	CPT
	DEXTROSE WITH POTASSIUM CHLORIDE, 1000 ML 5%	S5012	CPT
	DEXTROSE/0.45% NORMAL SALINE WITH POTASSILIM CHLORIDE AND MAGNESILIM SLILEATE 1500 ML 5%	S5014	CPT

SNOMEDCT	80050220	Abdominal aortic pulse, function (observable entity)	
SNOMEDCT	271860004	Abdominal mass (finding)	توده شکمی
SNOMEDCT	21522001	Abdominal pain (finding)	درد شکم
SNOMEDCT	74704000	Abdominal pain through to back (finding)	درد شکم و پهلوها
SNOMEDCT	43478001	Abdominal tenderness (finding)	تندرنس شكم
SNOMEDCT	284973007	Able to dress (finding)	قادر به لباس پوشیدن
SNOMEDCT	288884008	Able to eat (finding)	قادر به غذا خوردن است
SNOMEDCT	282600008	Able to jump (finding)	قادر به پرش
SNOMEDCT	285170004	Able to recognize faces (finding)	توانایی شناسایی چهره ها
SNOMEDCT	285168008	Able to recognize faces by sight (finding)	قادر به تشخیص چهره
SNOMEDCT	282620009	Able to roll (finding)	قابلیت غلت زدن
SNOMEDCT	282474007	Able to run (finding)	قادر به دویدن است
SNOMEDCT	302040002	Able to sit unsupported (finding)	نشستن بدون کمک
SNOMEDCT	288375004	Able to turn pages (finding)	توانایی ورق زدن
CNOMEDOT	2626E1000	Abnormal (qualifier value)	÷ 11€ 1

توضيحات	Value	Code	TerminologyId
	Abnormal findings in specimens from female genital organs : abnormal histological findings	R87.7	ICD10
	Abnormal findings in specimens from female genital organs : abnormal immunological findings	R87.4	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of enzymes	R87.0	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of hormones	R87.1	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of other drugs, medicaments and biological substances	R87.2	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of substances chiefly nonmedicinal as to source	R87.3	ICD10
	Abnormal findings in specimens from female genital organs : abnormal microbiological findings	R87.5	ICD10
	Abnormal findings in specimens from female genital organs : other abnormal findings	R87.8	ICD10
	Abnormal findings in specimens from female genital organs : unspecified abnormal finding	R87.9	ICD10
	Abnormal findings in specimens from male genital organs	R86	ICD10
	Abnormal findings in specimens from male genital organs : abnormal cytological findings	R86.6	ICD10
	Abnormal findings in specimens from male genital organs : abnormal histological findings	R86.7	ICD10

Common Data Elements and Standards - Definitions

- Data Element
 - information that describes a piece of data to be collected in a study
- Common Data Elements
 - a data element that is common to multiple datasets across studies
 - a combination of a precisely defined question (variable) paired with a specified set of responses to the question that is common to multiple datasets or used across different studies
- Every effort should be made to use common data elements (CDEs) to facilitate data sharing across research projects. CDEs describe the type of data to be collected and use standardized language for the question as well as the associated values.

Typical Data Elements Captured in Studies

- Demographic data
- Eligibility criteria
- Family history
- Patient history and physical examination including performance status
- Surgical history
- Prior treatment
- Concomitant medications
- Laboratory and radiology results
- Pathology

- Review of current symptoms
- During the study
- Study treatment (dosing, frequency)
- Laboratory and radiology results
- Concomitant medications including over-the-counter medications and
- indications
- Adverse events
- Toxicities
- Hospitalizations

- Treatment response
- Study termination
- Treatment stop date and reason
- Follow-up
- Disease status
- Nonprotocol treatment
- Long-term adverse events
- Date of death
- Cause of death
- Autopsy results if performed

Ref: St Germain, Diane C. (2018). Principles and Practice of Clinical Research // Data Management in Clinical Trials. 531–545. DOI: <u>10.1016/B978-0-12-849905-4.00030-7</u>

Common Data Elements and Standards - Tools

- The NIH Common Data Elements (CDE) Repository
 - The NIH Common Data Elements (CDE) Repository has been designed to provide access to structured human
 and machine-readable definitions of data elements that have been recommended or required by NIH
 Institutes and Centers and other organizations for use in research and for other purposes
- PhenX Toolkit
 - The PhenX Toolkit (consensus measures for **Phen**otypes and e**X**posures) provides recommended standard data collection protocols for conducting biomedical research.
- <u>METeOR</u>
 - METEOR is Australia's repository for national metadata standards for health, housing and community services statistics and information.
- The United States Health Information Knowledgebase (USHIK)
 - The United States Health Information Knowledgebase (USHIK) is an on-line, publicly accessible registry and repository of healthcare-related metadata, specifications, and standards.
- <u>The Clinical Data Interchange Standards Consortium (CDISC)</u>
 - CDISC is an international, nonprofit group working to develop consensus-based standards to enhance interoperability in clinical research.

NIH National Library of Medicine



NIH CDE Repository

Search Data Elements Enter keyword, classification, or topic **External Forms** GRDR - NCI External Forms Global Rare Diseases Patient National Cancer Institute Registry Data Repository Source Source 241 elements 75 elements 1529 elements NHLBI NICHD NIDA National Heart, Lung and Blood Eunice Kennedy Shriver National National Institute on Drug Abuse

Institute Cure Sickle Cell Initiative Source 2859 elements

National Institute of Nursing Research Source

NLM

National Library of Medicine

120 elements

ONC

Source

Office of the National Coordinator

CDEs Forms My Boards About Help 🗸

Q

AHRQ

Agency for Healthcare Research and Quality

91 elements

- NEI National Eye Institute

235 elements

- NINDS

National Institute of Neurological Disorders and Stroke ~

NINR

Institute of Child Health and Human Development Source 638 elements

Data sharing

International statements on data sharing:

- Berlin Principles
- OECD
- NSF
- NIH
- OA 2020
- European Commission
- NRC

Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities

Open access contributions include original scientific research results, raw data and metadata, source materials, digital representations of pictorial and graphical materials and scholarly multimedia material. https://openaccess.mpg.de/Berlin-Declaration

Benefits of data sharing

a Sharing t Way to Better Data

Benefits for researchers

- •Increases visibility of scholarly work;
- Likely to increase citations rates,
- Enables new collaborations;
- Encourages scientific enquiry and debate;
- Promotes innovation and potential new data uses;
- •Establishes links to next generation of researchers.

Benefits for research funders

- Promotes primary and secondary use of data;
- Makes optimal use of publicly funded research;
- Avoids duplication of data collection;
- Maximizes return on investment.

Benefits for the scholarly community

- Maintains professional standards of open inquiry;
- Maximizes transparency and accountability;
- Promotes innovation through unanticipated and new uses of data;
- Enables scrutiny of research findings;
- •Improves quality from verification, replication and trustworthiness;
- •Encourages the improvement and validation of research methods;
- Provides resources for teaching and learning.

Benefits for research participants

- •Allows maximum use of contributed information;
- Minimizes data collection on difficult-to-reach or over-researched populations;
- •Allows participants' experiences to be understood as widely as ethically possible.

Benefits for the public

- •Advances science to the benefit of society;
- •Adopts emerging norms such as open access publishing
- •To be, and appear to be, open and accountable;
- Complies with openness laws and regulations.

Barriers for data sharing

Inappropriate Use of Data

• There are concerns that others will use the data inappropriately or out of context from the original purpose of the research.

Data confidentiality

 Data may have sensitive information, and apprehensions about maintaining confidentiality are reasonable.

Appropriate Acknowledgement

 Researchers may be uneasy about the prospect of not receiving acknowledgement by others who use their data, or that others will use their data to gain a competitive advantage.

Why some researchers remain reluctant to share their own research data?

- •42% Intellectual property or confidentiality issues
- •36% My funder/institution does not require data sharing
- •26% I am concerned that my research will be scooped
- •26% I am concerned about misinterpretation or misuse
- •23% Ethical concerns
- •22% I am concerned about being given proper citation credit or attribution
- •21% I did not know where to share my data
- •20% Insufficient time and/or resources
- •16% I did not know how to share my data
- •12% I don't think it is my responsibility
- •12% I did not consider the data to be relevant
- •11% Lack of funding
- •7% Other





Considerations before data sharing

- •Good data management
- Meeting ethical and legal obligations
- •Intellectual property rights
- Data licensing
- Meta data schema and cross-walking

Data can only be shared if they are of high quality, well-curated,

well-documented, and can be referenced and indexed.



قوانين داخلي

- 1. کلیه دستگاهها و نهادهایی که از بودجه عمومی کشور استفاده مینمایند موظفند نسبت به در اختیار
- قراردادن بانكهاي رقومی (دیجیتالی) خود به استثناء موارد طبقه بندي شده، حریم خصوصی و مواردي كه در قوانین افشاء اطلاعات ممنوع شده است، اقدام نمایند (قانون برنامه پنجم توسعه)
 - قانون انتشار و دسترسی آزاد به اطلاعات
 - شماره ابلاغيه : 56348/32 تاريخ ابلاغيه : 1388/11/04

<u>https://rc.majlis.ir/fa/law/show/780303</u>

قانون انتشار و دسترسی آزاد به اطلاعات

اطلاعات

هر نوع داده که در اسناد مندرج
 باشد یا به صورت نرم افزاری
 ذخیره گردیده و یا با هر وسیله
 دیگری ضبط شده باشد.

اطلاعات شخصى

اطلاعات فردی نظیر نام و نام
 خانوادگی، نشانیهای محل
 سکونت و محل کار، وضعیت
 زندگی خانوادگی، عادتهای
 فردی، ناراحتیهای جسمی، شماره
 حساب بانکی و رمز عبور است

اطلاعات عمومي

اطلاعات غیر شخصی نظیر
 ضوابط و آیین نامه ها، آمار و
 ارقام ملی و رسمی، اسناد و
 مکاتبات اداری که از مصادیق
 مستثنیات فصل چهارم این قانون
 نباشد.

قانون انتشار و دسترسی آزاد به اطلاعات

ماده 2

هر شخص ایرانی حق دسترسی
 به اطلاعات عمومی را دارد،
 مگر آن که قانون منع کرده باشد
 استفاده از اطلاعات عمومی یا
 انتشار آنها تابع قوانین و مقررات
 مربوط خواهد بود

ماده 5

مؤسسات عمومی مكلفند
 اطلاعات موضوع این قانون را
 در حداقل زمان ممكن و بدون
 تبعیض در دسترسی مردم قرار
 دهند.

ماده 7

مؤسسه عمومی نمی تواند از
 متقاضی دسترسی به اطلاعات
 هیچ گونه دلیل یا توجیهی جهت
 تقاضایش مطالبه کند.

Data management plans

•A Data Management Plan (DMP) document show data will be managed, stored and shared during and after a research project.

•Some research funders and human research ethics committees are now requesting that researchers submit a DMP as part of their project proposal.

•By planning ahead, the research team can improve research efficiency, guard against data loss, enhance data security, and ensure research data integrity and replicability.

• Many Data Management Plan templates are now freely available for reuse.

Data Management Plan





- DMPTool is a service of the University of California Curation Center
- It allow researchers to create Data Management Plans that meet institutional and funder requirements

https://dmptool.org/









Thank you

