





Data Management in Registries Ensuring Quality and Integrity

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Defining Patient Registries

Patient registry is an organized system that uses **observational study methods** to

collect uniform data (clinical and other) to evaluate specified outcomes for a

population defined by a particular disease, condition, or exposure, and that serves

one or more stated scientific, clinical, or policy purposes.



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Clinical Practice Research Datalink

Clinical Practice Research Datalink (CPRD) is a real-world research service supporting retrospective and prospective public health and clinical studies. CPRD is jointly sponsored by the Medicines and Healthcare products Regulatory Agency and the National Institute for Health Research (NIHR), as part of the Department of Health and Social Care.

CPRD collects anonymised patient data from a network of GP practices across the UK. Primary care data are linked to a range of other health related data to provide a longitudinal, representative UK population health dataset. The data encompass 60 million patients, including 16 million currently registered patients.

For more than 30 years, research using CPRD data and services has informed clinical guidance and best practice, resulting in over 2,900 peer-reviewed sublications investigating arug safety, use of medicines, effectiveness of health policy, health care delivery and disease risk factors.

In response to the coronavirus outbreak, CPRD is expediting processing of protocols relating to COVID-19 research. To ascertain whether your protocol is eligible for rapid review please email rdg@cprd.com before you submit your application and include a clear impact statement in terms of public health, safety or policy. To understand how the current situation may impact on data processing and access requests see



GP practices - Join today



Today's Data, Tomorrow's Discoveries



Increasing Access to the Results of Research Funded by the National Science Foundation

National Science Foundation

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This presentation

is about:

> Introduction to data management & sharing

- Why Manage Your Data?
- Formatting and organizing the data
- Storage and Security of Data
- Data documentation and meta data
- Quality control & Version controlling
- o Controlled Vocabulary
- o Common Data Elements
- Data Sharing
- o Data management plans
- > Examples for routine practices
- > Examples for modern practices

Background

- 1. Research funders are increasingly mandating open access to research data
- 2. Governments internationally are demanding transparency in research
- 3. The economic climate is requiring much greater reuse of data
- 4. Fear of data loss calls for more robust information security practices.
- 5. Journal publishers increasingly require submission of the data up on which publications are based for peer review.
- 6. Researchers and data users recognize the long-term value of well-prepared data.



Types of Research Data

Research data can be many different things - it's not just spreadsheets and it's not just code!

It's a whole myriad of files that can be created through research...essentially all outputs that are not a research publication!

https://researchdata.springernature.c om/posts/30232-infographic-types-ofresearch-data



Data Lifecycle



By Data Management we mean all data practices,

manipulations, enhancements and processes that

ensure that research data are of a high quality, are

well organized, documented, preserved,

sustainable, accessible and reusable.

Management of medical, health and clinical data is a critical part of the research process. It enables:

✓ Increased quality of your research

✓ Increased transparency and trust in data you obtain

✓ Reproducibility of research through increasing veracity of data

✓ Strengthening of researchers' reputation through increased citations and reach of all research outputs.

What is GIGO?





Different

Processes in

Research Data

Management



Key Characteristics of Data Management

➢ Data Collection Process

 $\circ \mathsf{Types}$ of Data Collected

• Methods of Data Collection

➢ Data Quality Assurance

Importance of Data Quality

Strategies for Ensuring Data Accuracy

> Data Security Measure

Importance of Data Security

Compliance with Privacy Regulations

Formatting and organizing the data

➢ Choosing File Formats

- Format best suited for data creation
- Format best suited for data analyses and other planned uses;
- Format best suited for long-term sustainability and sharing of data

➢ Data conversion

≻File Names

➢ Best Practice for File/folder Structure

Data documentation and Meta Data

Metadata = Data about data



Metadata vs. Data





Metadata are a specific subset of data documentation that provides

organized searchable information

Data documentation and Meta Data

- Study-level data documentation
- Data level data documentation



Good study-level data documentation includes:

Research design and context of data collection

Data collection methods

Structure of data files, with number of cases, records, files and variables, as well as any relationships among such items;

Secondary data sources used and provenance

Data validation, checking, proofing, cleaning and other quality assurance procedures

Modifications made to data over time since their original creation and identification different versions of datasets;

Information on data confidentiality, access and any applicable conditions of use;

Publications, presentations and other research outputs that explain or draw on the data.

Good data-level data documentation includes:

- •Names, labels and descriptions
- Value code labels
- •Coding and classification schemes
- •Codes for missing values
- Derived new concepts from other variables
- •Weighting variables

فرا داده و فرهنگ لغت مطالعه قند و لیپید تهران



پژوهشکده علوم غدد درون ریز و متابولیسم دانشگاه علوم پزشکی شهید بهشتی

> گروه آمار و اپيدميولوژى ١٣٩٩ ويرايش دوم

فهرست مطالب

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مقدمه
مطالعه قند و ليپيد تهران
روشهای مختلفٌ جمع آوری داده در مطالعه قند و لیپید تهران
-یر سشنامه ها
- معاينات
- پار اکلینیک
- بَيِكَير يُ هاي ساليانه
الگوی نامگذاری ها
-الگوي تهيه نام متغير ها
-الگوی تھیہ برچسب (lable) متغیر ہا
-الگوى تهيه نام فايل داده ها

فصل دوم

حدول های فر هنگ لغت داده ها اطلاعات دموگر افیک (Demographic information).... سوابق بزشکی (Past medical history)..... عادات مصرف دخانیات نوجو انان (Adolescent smoking)..... عادات مصرف دخانيات بالغين (Adult smoking) فعاليت بدني نوجوانان (Adolescent physical activity) فعاليت بدني بالغين (Adult physical activity).... درد سينه و لنگش متناوب (Chest pain & claudication) سوالات خانمها (Women questionnaire).... معاينات پزشكى (Medical examinations) تن سنجى (Anthropometric measurement)..... آز مایشگاه (Lab measurement)..... یپامدها (Outcomes) تاریخ های پیگیری (Followup dates)

Com

		ران شامل موارد زیر است:	ی موجود در پر سشنامه قند و لیپید ته	حيطه ها
مخفف روش جمع آوری داده ها	مخفف حيطه	اصطلاح انگلیسی	اصطلاح فارسى	رديف
Q	DEM	Demographic information	اطلاعات دموگر افیک	١
Q	PMH	Past medical history	سىوابق پزشىكى	۲
Q	ASS	Adolescent smoking	عادات مصرف دخانيات نوجو انان	٣
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Q	NUT	Nutrition	تغذيه	٩
E	MED	Medical examinations	معاينات پزشكى	1.
E	ANT	Anthropometric measurement	تن سنجى	11
E	ECG	ECG	نوار قلب	۱۲
Р	LAB	Lab	آزمایشگاه	۱۳
F	OUT	Outcome	پيامد	۱۴
F	FUD	Followup date	تاريخ پيگيرى	10

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File Name: adm	nit1_v2_20Jun2020	
Name	Label	Description in Persian
day_1	p1qdem2a: day of interview	تاريخ مصاحبه به روز
month_1	p1qdem2b: month of interview	تاریخ مصاحبه به ماه
year_1	p1qdem2c: year of interview	تاريخ مصاحبه به سال
enterdate_1	p1qdem2d: date of interview	تاريخ ميلادي مصاحبهر
center_1	p1qdem3: center	نام مرکز بهداشتی
family_1	p1qdem4: household no	شماره خانوار
cluster_1	p1qdem5: cluster no	شمار ه خوشه
birth_date	p1qdem10: documented birth date	تاریخ تولد شناسنامه ای
ageyr_1	p1qdem12a: self-reported age	سن براساس اظهارات فرد
agemon_1	p1qdem12b: age in month	سن برحسب تعداد ماه
sex_1	p1qdem13: sex	جنس
spouse_1	p1qdem14: marital status	وضعيت تاهل
relative_1	p1qdem15: situation in the family	وضعيت فرد در خانوار
literacy_1	p1qdem18: literacy	وضعيت سواد
ifstud_1	p1qdem19: studying now	در حال حاضر تحصيل مي كنيد؟

						در ستون وضعیت	ونواء اخطار	
				شروع میشود. ۳: کد تکراری است. ۴: ایراد در گزینهها است.				
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<u>ويرايش</u>			str	پرسشگر	questioner	۰	~	
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ويرايش			str	نام	pi∘۱	۱۳۵۶۰۱	× .	
ويرايش			str	نام خانوادگی	pi∘۲	۱۳۵۶۰۱	× .	
<u>ويرايش</u>			category	جنسيت	pi∘۳	۱۳۵۶۰۱	~	
<u>ويرايش</u>			jalalidate	تاريخ تولد	pi∘r⊧	۱۳۵۶۰۱	~	
<u>ويرايش</u>			category	استان محل تولد	pi∘۵	۱۳۵۶۰۱	~	Í
ويرايش			category	شهرستان محل تولد	pi∘۶	۱۳۵۶۰۱	~	
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Quality Control & Data Checking

- •They are fit for their intended uses in operations, decision making and planning.
- •Completeness
- Validity
- Reliability
- Consistency
- •Timeliness

Version controlling and tracking

 In the case of research data, a new version of a dataset may be created when an existing dataset is <u>reprocessed</u>, <u>corrected</u> or appended with <u>additional data</u>.

 Versioning is one means by which to track changes associated with <u>'dynamic'</u> data that is not static over time.

- Decide how many versions of a file to keep
- Identity milestone versions to keep
- Uniquely identity different versions of files using a systematic naming convention, such as using version numbers or dates
- Record changes made to a tile when a new version is created

Working with sensitive data

Sensitive data are data that can be used to identify an individual, species, object, or location that introduces a risk of discrimination, harm, or unwanted attention.

A person's identity can be disclosed from:

• Direct identifiers such as names, addresses, postcode information, telephone numbers or pictures

•Indirect identifiers which, when linked with other publicly available information sources, could identify someone, for example information on workplace, occupation or exceptional values of characteristics like salary or age.



Total Data Management

Selecting and Defining Exposure & Outcome Measures for Registries

The selection and definition of patient outcomes of interest together with the exposures(s) of interest, is a critical step in designing a patient registry.

Standard terminologies not only improve efficiency in establishing registries but also promote more effective sharing, combining, or linking of datasets from different sources.



•Controlled vocabularies ensure shared understanding of the terminologies used in taxonomies and classifications.

•Using established vocabularies promotes interoperability, discovery and re-use of data.

Health Vocabulary examples




An easily accessible portal to controlled vocabularies used in research

A controlled vocabulary reflects agreement on terminology used to label concepts. When research communities agree to use common language for the concepts in datasets, then the discovery, linking, understanding and reuse of research data are

improved.



لیست مربوط به ترمینولوژی Country



Value توضيحات	Code	Terminologyld
آرژانتین	AR	Country
آروبا	AW	Country
آفريقای جنوبی	ZA	Country
آلبانی	AL	Country
آلمان	DE	Country
آنتیگوا و باربودا	AG	Country
آندورا	AD	Country
آنگولا	AO	Country
آنگویلا	AI	Country

بازگشت

. به ترمینولوژی CPT	مربوط	ليست
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ایران ، بیمه ، جراحی ،

جستجو در CPT نمایش تمامی موارد

بازگشت

	توضيحات	Value	Code	Terminologyld
		GRADE-AID" (DEVICE TO PREVENT ROLLING BACK ON AN INCLINE) FOR WHEELCHAIR"	E0974	CPT
		HYDROXYPREGNENOLONE-17	84143	CPT
		MUTATION ANAL (IN INDIV W KNOWN MLH1&MLH2 MUTATION THE FAMILY), HEREDITARY NONPOLYPOSIS-1 COLOREC CA (HNPCC) GENETIC TSTING	S3831	CPT
		NF NON-SEALED LEAD ACID BATTERY, EACH 22	K0082	CPT
		NF SEALED LEAD ACID BATTERY, EACH (E.G., GEL CELL, ABSORBED GLASS MAT) 22	K0083	CPT
		DEXTROSE AND 45% NORMAL SALINE, 1000 ML 5%	S5010	CPT
		DEXTROSE IN LACTATED RINGER'S, 1000 ML 5%	S5011	CPT
		DEXTROSE WITH POTASSIUM CHLORIDE, 1000 ML 5%	S5012	CPT
		DEXTROSE/0.45% NORMAL SALINE WITH POTASSIUM CHLORIDE AND MAGNESIUM SUI FATE 1500 ML 5%	S5014	CPT

	Abdominal aortic pulse, function (observable entity)	80050220	SNOMEDCT
توده شکمی	Abdominal mass (finding)	271860004	SNOMEDCT
درد شکم	Abdominal pain (finding)	21522001	SNOMEDCT
درد شکم و پهلوها	Abdominal pain through to back (finding)	74704000	SNOMEDCT
تندرنس شکم	Abdominal tenderness (finding)	43478001	SNOMEDCT
قادر به لباس پوشیدن	Able to dress (finding)	284973007	SNOMEDCT
قادر به غذا خوردن است	Able to eat (finding)	288884008	SNOMEDCT
قادر به پرش	Able to jump (finding)	282600008	SNOMEDCT
توانایی شناسایی چهره ها	Able to recognize faces (finding)	285170004	SNOMEDCT
قادر به تشخیص چهره	Able to recognize faces by sight (finding)	285168008	SNOMEDCT
قابلیت غلت زدن	Able to roll (finding)	282620009	SNOMEDCT
قادر به دویدن است	Able to run (finding)	282474007	SNOMEDCT
نشستن بدون کمک	Able to sit unsupported (finding)	302040002	SNOMEDCT
توانایی ورق زدن	Able to turn pages (finding)	288375004	SNOMEDCT
4 1•€ I	Abactmal (qualifier value)	2636E1000	CNOMEDOT

توضيحات	Value	Code	TerminologyId
	Abnormal findings in specimens from female genital organs : abnormal histological findings	R87.7	ICD10
	Abnormal findings in specimens from female genital organs : abnormal immunological findings	R87.4	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of enzymes	R87.0	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of hormones	R87.1	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of other drugs, medicaments and biological substances	R87.2	ICD10
	Abnormal findings in specimens from female genital organs : abnormal level of substances chiefly nonmedicinal as to source	R87.3	ICD10
	Abnormal findings in specimens from female genital organs : abnormal microbiological findings	R87.5	ICD10
	Abnormal findings in specimens from female genital organs : other abnormal findings	R87.8	ICD10
	Abnormal findings in specimens from female genital organs : unspecified abnormal finding	R87.9	ICD10
	Abnormal findings in specimens from male genital organs	R86	ICD10
	Abnormal findings in specimens from male genital organs : abnormal cytological findings	R86.6	ICD10
	Abnormal findings in specimens from male genital organs : abnormal histological findings	R86.7	ICD10

Common Data Elements and Standards - Definitions

- Data Element
 - information that describes a piece of data to be collected in a study
- Common Data Elements
 - a data element that is common to multiple datasets across studies
 - a combination of a precisely defined question (variable) paired with a specified set of responses to the question that is common to multiple datasets or used across different studies
- Every effort should be made to use common data elements (CDEs) to facilitate data sharing across research projects. CDEs describe the type of data to be collected and use standardized language for the question as well as the associated values.

Typical Data Elements Captured in Studies

- Demographic data
- Eligibility criteria
- Family history
- Patient history and physical examination including performance status
- Surgical history
- Prior treatment
- Concomitant medications
- Laboratory and radiology results
- Pathology

- Review of current symptoms
- During the study
- Study treatment (dosing, frequency)
- Laboratory and radiology results
- Concomitant medications including over-the-counter medications and
- indications
- Adverse events
- Toxicities
- Hospitalizations

- Treatment response
- Study termination
- Treatment stop date and reason
- Follow-up
- Disease status
- Nonprotocol treatment
- Long-term adverse events
- Date of death
- Cause of death
- Autopsy results if performed

Ref: St Germain, Diane C. (2018). Principles and Practice of Clinical Research // Data Management in Clinical Trials. 531–545. DOI: <u>10.1016/B978-0-12-849905-4.00030-7</u>

Common Data Elements and Standards - Tools

- The NIH Common Data Elements (CDE) Repository
 - The NIH Common Data Elements (CDE) Repository has been designed to provide access to structured human
 and machine-readable definitions of data elements that have been recommended or required by NIH
 Institutes and Centers and other organizations for use in research and for other purposes
- PhenX Toolkit
 - The PhenX Toolkit (consensus measures for **Phen**otypes and e**X**posures) provides recommended standard data collection protocols for conducting biomedical research.
- <u>METeOR</u>
 - METeOR is Australia's repository for national metadata standards for health, housing and community services statistics and information.
- The United States Health Information Knowledgebase (USHIK)
 - The United States Health Information Knowledgebase (USHIK) is an on-line, publicly accessible registry and repository of healthcare-related metadata, specifications, and standards.
- <u>The Clinical Data Interchange Standards Consortium (CDISC)</u>
 - CDISC is an international, nonprofit group working to develop consensus-based standards to enhance interoperability in clinical research.

NIH National Library of Medicine



NIH CDE Repository

Search Data Elements Enter keyword, classification, or topic **External Forms** GRDR - NCI External Forms Global Rare Diseases Patient National Cancer Institute Registry Data Repository Source Source 241 elements 75 elements 1529 elements NHLBI NICHD NIDA National Heart, Lung and Blood Eunice Kennedy Shriver National National Institute on Drug Abuse

Institute Cure Sickle Cell Initiative Source 2859 elements

National Institute of Nursing Research Source

NLM

National Library of Medicine

120 elements

ONC

Source

Office of the National Coordinator

CDEs Forms My Boards About Help 🗸

Q

AHRQ

Agency for Healthcare Research and Quality

91 elements

- NEI National Eye Institute

235 elements

- NINDS

National Institute of Neurological Disorders and Stroke -

NINR

Institute of Child Health and Human Development Source 638 elements

Storage and Security of Data

Backup Your Data

➢ Physical data security

Network Security

Security of computer systems

➤ Data Encryption

Access controlling and security

Data sharing

Data Governance International statements on data sharing:

- Berlin Principles
- OECD
- NSF
- NIH
- OA 2020
- European Commission
- NRC

Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities

Open access contributions include original scientific research results, raw data and metadata, source materials, digital representations of pictorial and graphical materials and scholarly multimedia material. https://openaccess.mpg.de/Berlin-Declaration

Benefits of data sharing

a Sharing t Way to Better Data

Benefits for researchers

- •Increases visibility of scholarly work;
- Likely to increase citations rates,
- Enables new collaborations;
- Encourages scientific enquiry and debate;
- Promotes innovation and potential new data uses;
- •Establishes links to next generation of researchers.

Benefits for research funders

- Promotes primary and secondary use of data;
- Makes optimal use of publicly funded research;
- Avoids duplication of data collection;
- Maximizes return on investment.

Benefits for the scholarly community

- Maintains professional standards of open inquiry;
- Maximizes transparency and accountability;
- Promotes innovation through unanticipated and new uses of data;
- Enables scrutiny of research findings;
- •Improves quality from verification, replication and trustworthiness;
- Encourages the improvement and validation of research methods;
- Provides resources for teaching and learning.

Benefits for research participants

- •Allows maximum use of contributed information;
- Minimizes data collection on difficult-to-reach or over-researched populations;
- •Allows participants' experiences to be understood as widely as ethically possible.

Benefits for the public

- •Advances science to the benefit of society;
- •Adopts emerging norms such as open access publishing
- •To be, and appear to be, open and accountable;
- Complies with openness laws and regulations.

Barriers for data sharing

Inappropriate Use of Data

• There are concerns that others will use the data inappropriately or out of context from the original purpose of the research.

Data confidentiality

 Data may have sensitive information, and apprehensions about maintaining confidentiality are reasonable.

Appropriate Acknowledgement

 Researchers may be uneasy about the prospect of not receiving acknowledgement by others who use their data, or that others will use their data to gain a competitive advantage.

Why some researchers remain reluctant to share their own research data?

- •42% Intellectual property or confidentiality issues
- •36% My funder/institution does not require data sharing
- •26% I am concerned that my research will be scooped
- •26% I am concerned about misinterpretation or misuse
- •23% Ethical concerns
- •22% I am concerned about being given proper citation credit or attribution
- •21% I did not know where to share my data
- •20% Insufficient time and/or resources
- •16% I did not know how to share my data
- •12% I don't think it is my responsibility
- •12% I did not consider the data to be relevant
- •11% Lack of funding
- •7% Other





Considerations before data sharing

- •Good data management
- Meeting ethical and legal obligations
- Intellectual property rights
- Data licensing
- Meta data schema and cross-walking

Data can only be shared if they are of high quality, well-curated,

well-documented, and can be referenced and indexed.



قوانين داخلي

- کلیه دستگاهها و نهادهایی که از بودجه عمومی کشور استفاده مینمایند موظفند نسبت به در اختیار قراردادن بانکهای رقومی (دیجیتالی) خود به استثناء موارد طبقه بندی شده، حریم خصوصی و مواردی که در قوانین افشاء اطلاعات ممنوع شده است، اقدام نمایند (قانون برنامه پنجم توسعه)
 - قانون انتشار و دسترسی آزاد به اطلاعات

شماره ابلاغيه : 56348/32 تاريخ ابلاغيه : 1388/11/04

<u>https://rc.majlis.ir/fa/law/show/780303</u>

قانون انتشار و دسترسی آزاد به اطلاعات

اطلاعات

هر نوع داده که در اسناد مندرج
 باشد یا به صورت نرم افزاری
 ذخیره گردیده و یا با هر وسیله
 دیگری ضبط شده باشد.

اطلاعات شخصى

اطلاعات فردی نظیر نام و نام
 خانو ادگی، نشانی های محل
 سکونت و محل کار، وضعیت
 زندگی خانو ادگی، عادت های
 فردی، نار احتیهای جسمی، شمار ه
 حساب بانکی و رمز عبور است.

اطلاعات عمومي

اطلاعات غیر شخصی نظیر
 ضو ابط و آیین نامه ها، آمار و
 ارقام ملی و رسمی، اسناد و
 مکاتبات اداری که از مصادیق
 مستثنیات فصل چهارم این قانون
 نباشد.

قانون انتشار و دسترسی آزاد به اطلاعات

ماده 2

هر شخص ایرانی حق دسترسی
 به اطلاعات عمومی را دارد،
 مگر آن که قانون منع کرده باشد
 استفاده از اطلاعات عمومی یا
 انتشار آنها تابع قوانین و مقررات
 مربوط خواهد بود

ماده 5

مؤسسات عمومی مکلفند
 اطلاعات موضوع این قانون را
 در حداقل زمان ممکن و بدون
 تبعیض در دسترسی مردم قرار
 دهند.

ماده 7

مؤسسه عمومی نمی تواند از
 متقاضی دسترسی به اطلاعات
 هیچ گونه دلیل یا توجیهی جهت
 تقاضایش مطالبه کند.

Data management plans

•A Data Management Plan (DMP) document show data will be managed, stored and shared during and after a research project.

•Some research funders and human research ethics committees are now requesting that researchers submit a DMP as part of their project proposal.

•By planning ahead, the research team can improve research efficiency, guard against data loss, enhance data security, and ensure research data integrity and replicability.

• Many Data Management Plan templates are now freely available for reuse.

Data Management Plan



Case Report

Identifying the capabilities for creating next-generation registries: a guide for data leaders and a case for "registry science"

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Figure 1. Approximate relative positioning of traditional registry applications and next-generation registries along the 3 dimensions of registry complexity: Longitudinality, multi-modality, and agility. Image used with permission of the authors.⁹

Thank you

